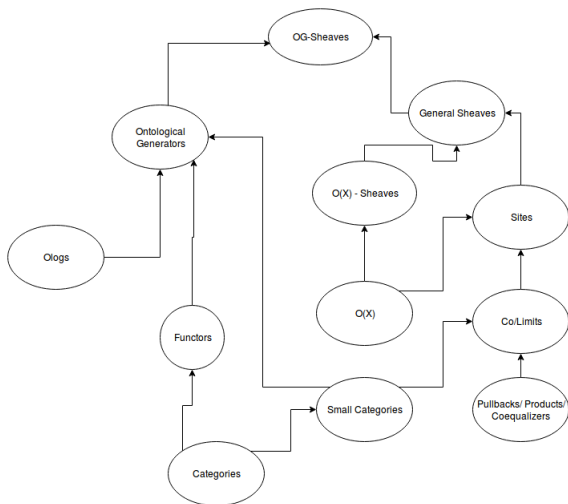


# Categories, Sheaves; Applications, Ologs

Noah Chrein

March 4, 2019

# Content Ontology



# References

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- [SGL] Sheaves in Geometry and Logic - Mac Lane
- [STACK] Sites - Stacks Project
- [LEARN] Backprop as Functor - Brandon Fong, David Spivak
- [SSA] Sheaves, Cosheaves and Applications - Justin Curry
- [OLOG] Ontological Logs - David Spivak

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**Associativity** implies  $(f \circ g) \circ h = f \circ (g \circ h)$ .

**Unital** is the existence of "identity morphisms"  $Id_C \in Hom(C, C)$  with  $Id_B \circ f = f = f \circ Id_A$



# Examples of Categories

Some examples include  $\mathcal{Set}$ ,  $\mathcal{Top}$ ,  $\mathcal{Grp}$ ,  $\mathcal{Ab}$ ,  $\mathcal{Ring}$ ,  $\mathcal{Mod}_R$

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- In general, individual objects of a category need not be sets, the morphisms need not be functions, and the collection of objects  $Ob(\mathcal{C})$  need not form a set.

Def : Small Category

A **Small Category** is a category in which the objects form a set.

Examples:  $(\mathbb{R}, \leq)$ ,  $O(X)$

# $O(X)$

Def :  $O(X)$

Let  $X$  be a topological space.  $O(X)$  is the small category whose objects are the open sets of  $X$ , and whose morphisms are the inclusions  $i_{U,V} : U \hookrightarrow V$  (that is when  $U \subseteq V$ )

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- Self inclusion gives the identity map, and composition is given by transitivity of  $\subseteq$
- For every  $U \in O(X)$  we can consider an **open covering** of  $U$ ,  $\{U_i \rightarrow U\}$  (that is,  $\bigcup U_i = U$ )
- For two  $U, V \in O(X)$  we can consider the intersection  $U \cap V \in O(X)$

# Functors

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## Def : Functors

Let  $\mathcal{C}$ ,  $\mathcal{D}$  be two categories. A **functor**  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a rule  $F : ob(\mathcal{C}) \rightarrow ob(\mathcal{D})$  and  $F : mor(\mathcal{C}) \rightarrow mor(\mathcal{D})$  such that:

- if  $f : A \rightarrow B$  then  $F(f) : F(A) \rightarrow F(B)$
- $F(f \circ g) = F(f) \circ F(g)$
- $F(Id_{\mathcal{C}}) = Id_{F(\mathcal{C})}$

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There is also the notion of a **contravariant functor**, a functor such that  $F(f : A \rightarrow B) = F(f) : F(B) \rightarrow F(A)$ , in this case we write  $F : \mathcal{C}^{op} \rightarrow \mathcal{D}$

# Examples / Intuition

- relevant examples include  $\pi_1 : \mathcal{T}op \rightarrow \mathcal{G}rp$ ,  $H_n : \mathcal{T}op \rightarrow \mathcal{A}b$ ,  
 $H^n : \mathcal{T}op^{op} \rightarrow \mathcal{A}b$ ,  $C(-, Y) : \mathcal{T}op^{op} \rightarrow \mathcal{S}et$ ,  
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 $O : \mathcal{T}op \rightarrow \mathcal{S}m(\mathcal{T}op)$
- $C(X, Y) = \{f : X \rightarrow Y \mid \text{continuous}\}$ , if  $f : A \rightarrow X$  then  
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This is great, but a functor tells us the "global" invariants of a space, we would like to find local ones.

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a **presheaf** on a space  $X$  is a contravariant functor  
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An example of a presheaf is  $C(-, Y)$ . In this case:

$$C(U, Y) = \{f : U \rightarrow Y \mid \text{continuous}\}$$

$$C(i_{U,V}, Y)(f : V \rightarrow Y) = f \circ i_{U,V} = f|_U : U \rightarrow Y.$$



# Properties of $C(-, Y) : O(X)^{op} \rightarrow \mathcal{S}et$

$C(-, Y)$  enjoys some nice properties:

Given  $\{U_i \rightarrow U\}$  an (open) covering:

1) **gluing**: if  $f_i : U_i \rightarrow Y$  such that

$$f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$$

then  $\exists! f : U \rightarrow Y$  such that  $f|_{U_i} = f_i$

2) **Locality**: If  $f, g : U \rightarrow Y$  such that

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## Def : Sheaf

A presheaf  $P : O(X)^{op} \rightarrow Y$  is a **sheaf** if, given  $\{U_i \rightarrow U\}$  an (open) covering:

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- A **category** captures the idea of mathematical structure and structure preserving relations
- A **functor** extracts data from objects with structure
- A **presheaf** extracts local data from an object
- A **sheaf** extracts local data from an object that can be used to build global data.

# Pit Stop for Applications

- Brandon Fong and his advisor David Spivak recently described Backpropagation in reinforcement learning as a functor (good because it captures compositionality of learning) [LEARN]
- Michael Sent me a giant paper with tons of applications, one of which I thought was very cool: Viewing the coverage area of a bunch of cameras and the data they retrieve in terms of sheaves. [SSA]



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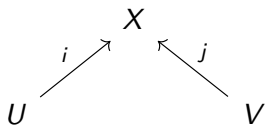
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 $\{f_i \in P(U_i)\}_{i \in I} \implies \prod_{i \in I} P(U_i)$  "Product"  
 $f = g \implies Eq(f, g)$  "Equalizer"

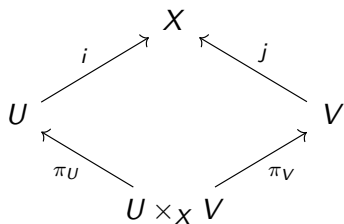


# Pullbacks, Products and Equalizers (oh my!)



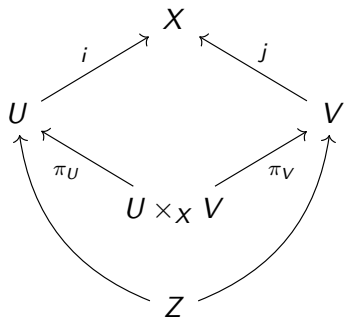
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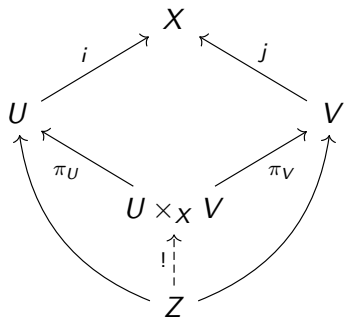
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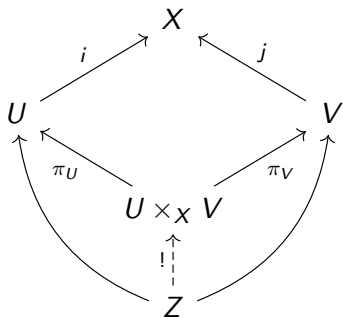
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# Pullbacks, Products and Equalizers (oh my!)



- In Set, the pullback is given specifically by  $U \times_X V = \{(u, v) \in U \times V \mid i(u) = j(v)\}$
- Specifically, if  $U, V$  are subsets of  $X$  and  $i, j$  the inclusions, then  $U \times_X V = U \cap V$

# Pullbacks, Products and Equalizers (oh my!)

$$\begin{array}{c} \prod_{i \in I} X_i \\ \downarrow \pi_j \\ X_j \end{array}$$

Given a collection of objects  $\{X_i\}_{i \in I}$  indexed by a set  $I$ , we can form the product  $\prod_{i \in I} X_i$ . This product comes with projection maps  $\pi_j$

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$$\begin{array}{ccc} \prod_{i \in I} X_i & \xleftarrow{\quad} & Z \\ \downarrow \pi_j & \swarrow & \\ X_j & & \end{array}$$

- Of course the product of sets is the usual cartesian product of set, for spaces it is the cartesian product with the product topology
- Warning! In the same way that not every object is a set, not every product is the cartesian product. (For example in certain cases the product in one can be realized as a pullback in another)

# Pullbacks, Products and Equalizers (oh my!)

$$X \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} Y$$

given two maps  $f, g : X \rightarrow Y$ ,

# Pullbacks, Products and Equalizers (oh my!)

$$E \xrightarrow{\alpha} X \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} Y$$

given two maps  $f, g : X \rightarrow Y$ , we can form the equalizer  $E = Eq(f, g)$  which comes with a map  $\alpha : E \rightarrow X$  making  $f \circ \alpha = g \circ \alpha$

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$$\begin{array}{ccccc} E & \xrightarrow{\alpha} & X & \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} & Y \\ \uparrow & \nearrow & & & \\ \vdots & & & & \\ Z & & & & \end{array}$$

given two maps  $f, g : X \rightarrow Y$ , we can form the equalizer  $E = Eq(f, g)$  which comes with a map  $\alpha : E \rightarrow X$  making  $f \circ \alpha = g \circ \alpha$ . If there is a map  $Z \rightarrow X$  doing the same, then it must factor through the equalizer via a unique map  $Z \rightarrow E$

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- In Set,  $E = \{x \in X \mid f(x) = g(x)\}$
- In Ab,  $E = \text{Ker}(f - g)$

# Generalizing Sheaves

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- 1) for each  $U_i$ , the collection  $\{U_i \cap U_j \rightarrow U_i\}$  is an open covering
- 2) Consider the functions  $res_{U_i, U_i \cap U_j} : P(U_i) \rightarrow P(U_i \cap U_j)$  and  $res_{U_j, U_i \cap U_j} : P(U_j) \rightarrow P(U_i \cap U_j)$ , we can lift this to two functions

$$\prod_i P(U_i) \begin{array}{c} \xrightarrow{r_1} \\ \xrightarrow{r_2} \end{array} \prod_{i,j} P(U_i \cap U_j)$$

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$$\prod_i P(U_i) \begin{array}{c} \xrightarrow{r_1} \\ \xrightarrow{r_2} \end{array} \prod_{i,j} P(U_i \cap U_j)$$

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4) Finally consider the map  $r : P(U) \rightarrow \prod_i P(U_i)$

$$p(f : U \rightarrow Y) = \{f|_{U_i}\}$$

# General Sheaves

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7) If  $\{f_i\}$  is a collection of maps such that  $r_1(\{f_i\}) = r_2(\{f_i\})$  then,

$$f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$$

the **gluing** sheaf condition says that there is a map  $f : U \rightarrow Y$  such that  $r(f) = \{f|_{U_i}\} = \{f_i\}$ , i.e. that  $r$  is a surjection onto the **equalizer** of  $r_1, r_2$ .



# General Sheaves

So we can redefine a sheaf on  $O(X)$  as a presheaf  $P$  such that  $P(U)$  is the equalizer of the diagram

$$P(U) \xrightarrow{r} \prod_i P(U_i) \begin{array}{c} \xrightarrow{r_1} \\ \xrightarrow{r_2} \end{array} \prod_{i,j} P(U_i \cap U_j)$$

The relevant ideas we used from  $O(X)$  is exactly the data of a Site:

## Def : Site

A small category  $\mathcal{C}$  is a **site** if it has pullbacks and a collection of coverings  $\{U_i \rightarrow U\}$  such that:

- if  $V \rightarrow U$  is an isomorphism, then  $\{V \rightarrow U\}$  is a covering.  
(An open set covers itself)
- if  $\{U_i \rightarrow U\}$  a covering and  $\{V_{i,j} \rightarrow U_i\}$  coverings, then  $\{V_{i,j} \rightarrow U\}$  is a covering.  
(Refinement of coverings)
- if  $\{U_i \rightarrow U\}$  is a covering and  $V \rightarrow U$ , then  $\{U_i \times_U V \rightarrow V\}$  is a covering.  
(if  $V \subseteq U$  then  $U_i \cap V$  covers  $V$ )

# General Sheaves

So for a general site  $\mathcal{C}$  and a category  $\mathcal{D}$  with equalizers and products (complete category) we can define a sheaf:

## Def : Sheaf

A presheaf  $P : \mathcal{C}^{op} \rightarrow \mathcal{D}$  is a **sheaf** if for every covering  $\{U_i \rightarrow U\}$ ,  $P(U)$  is the equalizer of the induced sequence

$$P(U) \xrightarrow{r} \prod_i P(U_i) \begin{array}{c} \xrightarrow{r_1} \\ \xrightarrow{r_2} \end{array} \prod_{i,j} P(U_i \times_U U_j)$$

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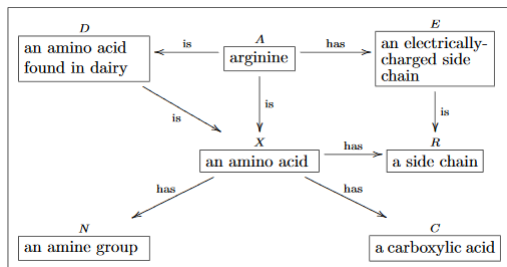
## Quick Recap / Applications

- We defined a sheaf on  $O(X)$
- We generalized the notions of "collections, intersections, open coverings, equality"
- We reformulated sheaf conditions in terms of "products, equalizers and pullbacks"
- We lifted the notion of a set-valued sheaf on  $O(X)$ , to a  $\mathcal{D}$ -valued sheaf on a site  $\mathcal{C}$

Besides having applications to geometry, sheaves in this level of generality define a topos, which is a modern tool in logic. All of this can be found in [SGL]

# Ontological Logs

- Concieved by David Spivak (MIT)
- An ontological Log is just a labeled category
- Objects are supposed to capture ideas, and morphisms relations between them

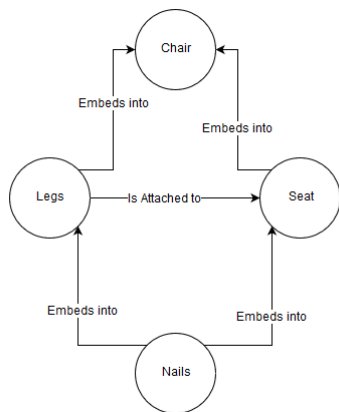


[OLOG]



# Ontological Logs

- Categorical constructions can then be interpreted semantically
- Identity "A concept is itself"
- Composability "If I effect something, I (might) have an effect on the things it's effecting"
- Ex: Pullback

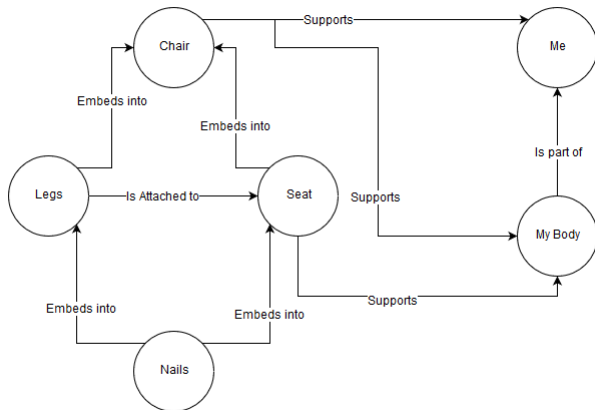


# Subcategories in Ontological Logs



From an abstract perspective, the labels "Chair" and "Support" and "Me" doesn't really mean anything unless I've defined them

# Subcategories in Ontological Logs



If we expand the ontological log we might be able to capture some more structure

# Some Simple Human Experimentation

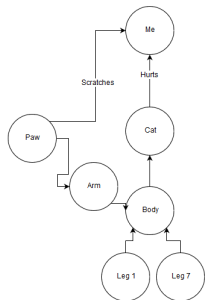
Cat

Forest

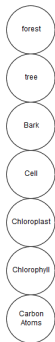
Topology

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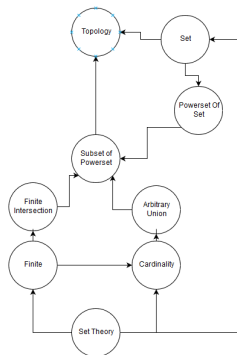
## Cat



## Forest



## Topology



This should be minor evidence that the process of "expanding your ontological log" is maybe something that humans do to represent knowledge

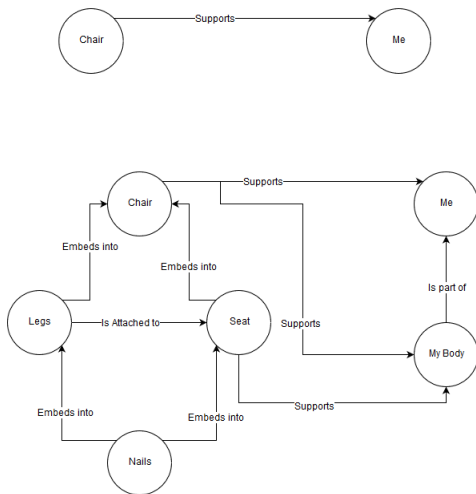
# Ontological Expansions : Small Subcategories

## Def : $\text{Sm}(\mathcal{C})$

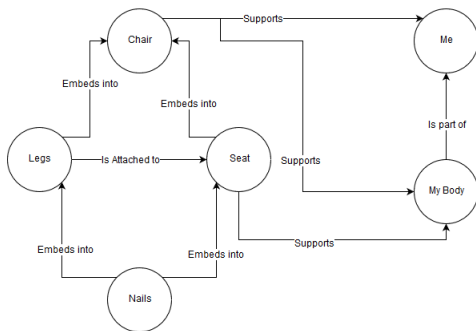
Let  $\mathcal{C}$  be a category

- A **small subcategory** of  $\mathcal{C}$  is a functor  $S : I \rightarrow \mathcal{C}$ , for  $I$  a small category.
- For two small subcategories, define the set  
 $\text{Hom}_{\mathcal{C}}(S, S') = \{f : S(i) \rightarrow S'(j) \mid i \in I, j \in J\}$
- A **submorphism**  $\mathcal{F} : S \rightarrow S'$  is a subset  $\mathcal{F} \subseteq \text{Hom}_{\mathcal{C}}(S, S')$

# Example



# Question: How to get from picture one to picture two?





# Answer(?) : Ontological Generators

Naively:

Def : Ontological Generator

An **Ontological Generator** is a functor  $OG : \mathcal{C} \rightarrow Sm(\mathcal{C})$

- For an object  $X \in \mathcal{C}$  call  $OG(X)$  the "Ontological Expansion of  $X$ "
- This is great, but we want to be able to use it.
- A stronger definition is needed.

# Current Def : OG

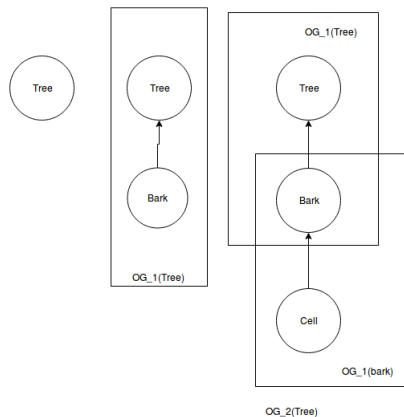
## Def : OG

Let  $(\$, \otimes)$  be a small monoidal category, An **Ontological Generator** is a parameterized functor  $OG : \$ \rightarrow (\mathcal{C} \downarrow sm(\mathcal{C}))$  such that:

- **Ontological Composition**  $OG_s \circ OG_{s'} = OG_{s \otimes s'} : \mathcal{C} \rightarrow sm(\mathcal{C})$
- **Colimit is a section**  $Colim \circ OG_s = Id_{\mathcal{C}}$
- **Local Measurement** For all  $s \in \$, X \in \mathcal{C}$   $OG_s(X)$  is a site

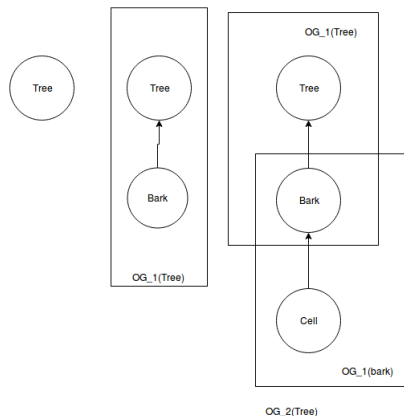
Note: You can just think of an OG as a collection of naive ontological expansions  $OG_s : \mathcal{C} \rightarrow sm(\mathcal{C})$

# OG composition (Example Picture)



We want to be able to compose expansions in a controlled and meaningful way

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**Anisotropy:** Seeing the forest for the trees (as opposed to the carbon atoms)

Realize  $Sm : \mathcal{C}at \rightarrow \mathcal{C}at$  as a functor:

- $Sm(\mathcal{C})$  has already been defined.
- For  $F : \mathcal{C} \rightarrow \mathcal{D}$ , we want  $Sm(F) : Sm(\mathcal{C}) \rightarrow Sm(\mathcal{D})$ .

This is simple, for  $S : I \rightarrow \mathcal{C} \in Sm(\mathcal{C})$   $Sm(F)(S) = F \circ S$   
for  $\mathcal{F} : S \rightarrow S'$ ,  $Sm(F)(\mathcal{F}) = \{F(f) | f \in \mathcal{F}\}$

# OG Composition

Let  $OG_1, OG_2 : \mathcal{C} \rightarrow sm(\mathcal{C})$ , define  
 $OG_1 \circ OG_2 = colim \circ Sm(OG_1) \circ OG_2$

i.e. :

$$\mathcal{C} \xrightarrow{OG_2} sm(\mathcal{C}) \xrightarrow{sm(OG_1)} sm(sm(\mathcal{C})) \xrightarrow{colim} sm(\mathcal{C})$$

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"An object isn't the sum of its parts, but the colimit of its ontological expansions"

## Example: The original OG, $O(X)$

Note that if we let  $\$ = *$  and  $OG_* = O : \mathcal{T}op \rightarrow sm(\mathcal{T}op)$ . Then  $O(X)$  becomes an ontological generator.

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- for  $f : X \rightarrow Y$  let  $O(f) = \mathcal{F} = \{f|_U \rightarrow V \mid V \supseteq f(U)\}$
- **Ontological Composition** is trivial
- **Colim is a section**  $Colim(O(X)) = \bigcup O(X) = X$
- **Local Measurement**  $O(X)$  is a **site**, as seen before.



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In a terrible way, we can deduce your actions from the physics of the entirety of your atoms

In a less terrible way, we can deduce the physics of your cell parts from your atoms, your cells from your cell parts, your organs from your cells and then you from your organs.

That is, we want a definition along the lines of:

Current Work : OG-Sheaves / Measurement

A **Measurement** of an ontological generator OG, is a collection of sheaves  $P_{s,X} : OG_s(X) \rightarrow \mathfrak{D}$

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Current Work : OG-Sheaves / Measurement

A **Measurement** of an ontological generator OG, is a collection of sheaves  $P_{s,X} : OG_s(X) \rightarrow \mathcal{D}$

The real question is then: What should be used for  $\mathcal{D}$ ?



# Future Work : Intuition, actions and measurements

Furthermore, we want to change our intuition upon viewing an ontology.

First some interpretations:

$\mathcal{C} \implies$  objects

$sm(\mathcal{C}) \implies$  ontological representations

$sm(sm(\mathcal{C})) \implies$  categories of ontological representations.

So the idea is that an object  $\mathcal{S} \in sm(sm(\mathcal{C}))$  should be an **intuition** about the universe  $\mathcal{C}$ . An ontological updatator tells us how to change our intuitions

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Def : Ontological Updator

$U : sm(\mathcal{C}) \rightarrow endFunc(sm(sm(\mathcal{C})))$

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”How someone’s ontological expansion changed your intuition”

Example: You ask someone to tell you some macroscopic properties of a cat, they tell you that a cat has a tail. You say to yourself, ”Wow, I already knew that” and so you add to your ontology that the person you are talking to must think you’re pretty dull.

1) In defining the spiral product, we are implicitly making the assumption that  $sm(\mathcal{C})$  is cocomplete. But it seems like this assumption is satisfied by the cocompleteness of  $\mathcal{C}$ .

2)  $Colim(OG_s(X))$  works fine if just considering the subcategory  $OG_s(X)$ , however we'd like to have  $Colim \circ OG_s$  a functor. To this end we search for a "correct" embedding functor  $sm(\mathcal{C}) \rightarrow (smCat \downarrow \mathcal{C})$  completing the chain:

$$\mathcal{C} \xrightarrow{OG_s} sm(\mathcal{C}) \xrightarrow{i} (smCat \downarrow \mathcal{C}) \xrightarrow{Colim} \mathcal{C}$$